

## Our Products

Bonds



Equities



Derivatives



Gold



## Our Market

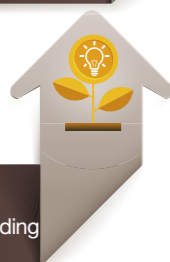
► TPEX Main Board  
Listing  
IPO



► Emerging Stock Board  
Registering  
Public Company



► Go Incubation Board  
Equity-based Crowdfunding  
Private Company



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Taipei Exchange 證券櫃檯買賣中心



## ABOUT US

Taipei Exchange (TPEX) is an integrated and multifunctional exchange founded in 1994. TPEX has been an official member of the World Federation of Exchanges (WFE) since 2011. In addition to operating the Main Board for general stock trading, TPEX introduced the Emerging Stock Board (ESB) in 2002. The ESB enables investors to trade unlisted stocks efficiently in a well-regulated environment. However, stocks are not the only instrument available on the Exchange; various bonds, derivatives, open-ended funds and spot gold can also be traded on TPEX. Various trading mechanisms such as order-driven, quote-driven and over-the-counter negotiations are supported by TPEX to enhance trading flexibility and to satisfy different investor needs.

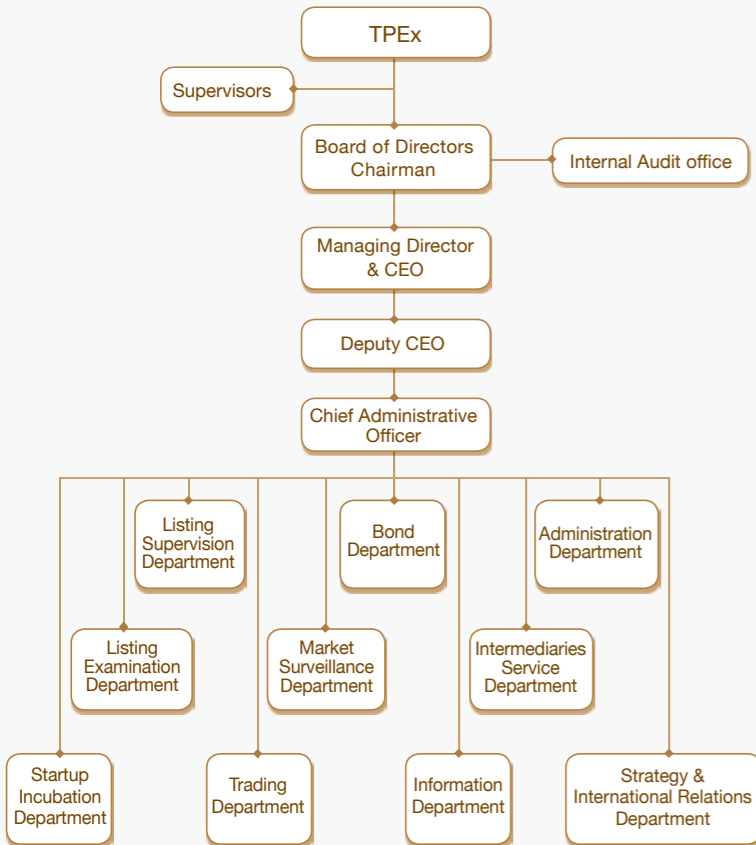
TPEX strives to facilitate and nurture successful businesses and act as a catalyst for economic vitality.

# ORGANIZATION CHART

# DEPARTMENT FUNCTIONS

# STOCK MARKET

# BOND & DERIVATIVES MARKET

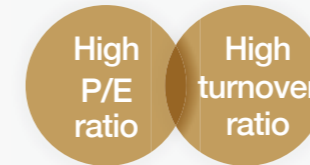


STARTUP INCUBATION DEPARTMENT	Promote the Gofunding Zone and the Go Incubation Board for Startup and Acceleration Firms (GISA)
LISTING EXAMINATION DEPARTMENT	Examine stocks application for TPEX Main Board listing and Emerging Stock Board (ESB) registration
LISTING SUPERVISION DEPARTMENT	Supervise and administer stock issuers
TRADING DEPARTMENT	Manage trading and settlement operations for TPEX traded stocks and warrants, gold, and listed open-ended funds
MARKET SURVEILLANCE DEPARTMENT	Market surveillance of TPEX-traded products (including listing stocks, emerging stocks, warrants, bonds, and derivative products)
BOND DEPARTMENT	Handle the TPEX listing of bonds and derivative products and administration of their issuers Manage trading and settlement operations for bonds and derivatives
INFORMATION DEPARTMENT	Administer TPEX trading data, files, and servers Develop computer systems for TPEX-traded products
INTERMEDIARIES SERVICE DEPARTMENT	Financial/Operational supervision and auditing of securities firms Servicing and managing securities firms
ADMINISTRATION DEPARTMENT	Human resources Accounting affairs Legal affairs
STRATEGY & INTERNATIONAL RELATIONS DEPARTMENT	Marketing, research, and strategic planning International cooperations Public and media relations
INTERNAL AUDIT OFFICE	Internal auditing and internal controls of the TPEX

## Main Board

TPEX is committed to supporting the development of potential business, to providing exceptional services to investors, and to cultivating the capital market. In order to establish a culture of corporate governance and foster corporate values based on mutual interest, TPEX enforces a variety of important corporate governance measures to further enhance the standards of Taiwan's corporate culture.

### FEATURES



### MARKET SEGMENTATION

Categorized into **3** major industries and 26 sectors.



## Emerging Stock Board (ESB)

Companies wishing to list on the TPEX Main Board or on the TWSE Main Board must first register on the ESB.

### REGISTRATION REQUIREMENTS

- 1.No capitalization, earnings, or years of establishment requirements
- 2.At least two Recommending Securities Firms (RSF)

### REVIEW AND PROCESS TIME

No longer than 9 days.

### UNIQUE TRADING MECHANISM

Computerized Auto-negotiation

TPEX has overseen Taiwan's bond market since its inception. Almost all bonds issued in Taiwan (including government bonds, corporate bonds, financial debentures and international bonds) are listed on the TPEX bond market.

## In-House Systems

### ELECTRONIC BOND TRADING SYSTEM (EBTS):

This platform integrates trading, settlement and clearing functions for government bonds, international bonds, foreign government bonds and corporate bonds.

### TAIWAN AUTOMATIC DERIVATIVES SYSTEMS (TADS):

Developed in-house to support interest rate swaps (IRS), swaptions (Swap Option), bond options and convertible bond asset swaps.

## Bond and Derivative Products

- BONDS**
- Government bonds
  - Financial debentures
  - Corporate bonds
  - Stripped bonds
  - Securitized products
  - International bonds
  - Foreign bonds
  - Beneficiary certificates
  - Convertible bonds
  - Green Bond

- DERIVATIVES**
- Interest rate swaps
  - Bond derivatives
  - Structured notes
  - Equity derivatives
  - Credit derivatives
  - Convertible bond asset swaps

### INTERNATIONAL BONDS:

Foreign currency denominated bonds

### FORMOSA BONDS:

RMB denominated bonds