






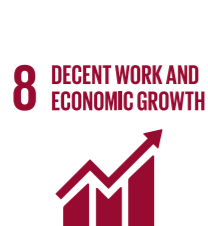



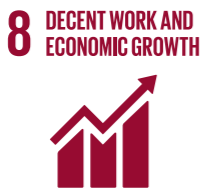






2020 SUSTAINABILITY PERFORMANCE HIGHLIGHTS



Aspects	The United Nations' Sustainable Development Goals (SDGs)	2020 Actions	2020 Performance
Environmental	    	<ul style="list-style-type: none"> Promoting the TIP Taiwan TPEX CSR Index, Green Bonds, and Sustainability Bonds Supporting the Task Force on Climate-related Financial Disclosures Promoting a working environment with low environmental impacts 	<ul style="list-style-type: none"> The Green Bond issuance reached a record high of NT\$56.6 billion, financing Taiwan's climate change adaptation, environmental protection, carbon emission reduction-related projects. In June, TPEX launched the TIP Taiwan TPEX CSR Index, and the total returns reached 15.31% by the end of 2020. In October, TPEX launched the Sustainability Bond Market supporting green and social projects. By the end of 2020, TPEX facilitated three tranches amounting to NT\$5.8 billion. In October, TPEX established the dedicated Sustainable Bond Market section on the website, followed by the launch of the Sustainable Bond Market. In October, TPEX formally supported the TCFD and promulgated amendments of the Rules Governing the Preparation and Filing of Corporate Social Responsibility Reports by TPEX Listed Companies to strengthen climate-related disclosures. TPEX promoted electronic operations, reducing paper consumption and saving 7% compared to 2019.
Social	     	<ul style="list-style-type: none"> Requiring suppliers to sign the "Suppliers' Commitment to Fulfill Corporate Social Responsibility" Supporting and calling on companies to contribute to the "1919 Food Bank" Financing rural medical service, elderly care, and educational resources for the disadvantaged Holding the "Taipei Exchange online securities investment camp" to promote financial education Supporting women's entrepreneurial activities Providing equal opportunities in employment and promotion Providing non-discriminatory and guaranteed parental leave Taking the lead in purchasing socially innovative products or services Developing the Pioneer Stock Board to assist innovative companies in Core Strategic Industries 	<ul style="list-style-type: none"> From November, 45 main suppliers signed the "Suppliers' Commitment to Fulfill Corporate Social Responsibility." A total of more than NT\$1.32 million and 8,850 kilograms of rice and other supplies were donated, with joint participation from 16 listed companies. The amount of funding for social welfare participation exceeded NT\$22 million. Due to the pandemic's impact, the "Taipei Exchange online securities investment camp" was held online, with the participation of 37 colleges to promote financial inclusion. Collaborating with the Small and Medium Enterprise Administration (SMEA), Ministry of Economic Affairs (MOEA) in holding 4 "Women Entrepreneurship Salons" and 2 "Elite Entrepreneurs Conferences." Females accounted for 54.5% of total employees and 50% of management positions. Employees who took parental leave were 100% reinstated, and 100% continued to be employed one year after reinstatement. TPEX has been awarded the Buying Power Award conferred by the SMEA, MOEA, for four consecutive years. TPEX held a Pioneer Stock Board public hearing to consult innovative companies to improve and complete its planning.

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Governance		<ul style="list-style-type: none"> · Promoting the TPEX Main Board, Emerging Stock Board (ESB), and Go Incubation Board for Startup and Acceleration Firms (GISA) · Boosting corporate financing and stock market transactions to create momentum for economic growth 	<ul style="list-style-type: none"> · A total of 1,122 companies became listed on the Main Board, ESB, and GISA. · The total market value of Main Board stocks increased to NT\$4.352 trillion, a 26.75% year-on-year growth. · The average daily trading value of Main Board stocks and ESB stocks was NT\$49.335 billion and NT\$2.065 billion, respectively, exhibiting 56.94% and 179.43% growth. · The total issuance of various bonds amounted to NT\$3.39 trillion, a record high.
	 	<ul style="list-style-type: none"> · Promoting the Continuous Trading and Intraday Odd Lot Trading system · Working with the competent authority to promote the Corporate Governance Roadmap · Implementing supervision and maintaining market order to protect investors 	<ul style="list-style-type: none"> · TPEX introduced Continuous Trading and Intraday Odd Lot Trading to improve transaction efficiency and lower the trading threshold of listed securities. · In line with the new Corporate Governance 3.0, TPEX amended regulations to require corporate governance managerial roles and the board self- or peer evaluation. · TPEX required companies to publish material information, annual financial reports, annual reports of shareholders meetings, and manuals of shareholders meetings on the Market Observation Post System in English. · In the "CG WATCH 2020" appraisal, Taiwan ranked 4th among 12 Asian countries, the best result over the years.
	 	<ul style="list-style-type: none"> · Implementing the 6th Corporate Governance Evaluation · Encouraging the issuance of corporate social responsibility reports 	<ul style="list-style-type: none"> · The 6th Corporate Governance Evaluation was completed, with 28 companies advanced in terms of performance. · A total of 124 listed companies issued 2019 corporate social responsibility reports, of which 78 were voluntary. · TPEX revised the "Rules Governing the Preparation and Filing of Corporate Social Responsibility Reports by TPEX Listed Companies," requiring listed companies to disclose on risk assessment and key indicators.
		<ul style="list-style-type: none"> · Participating in international organizations and important meetings continuously · Implementing the Ethical Corporate Management Best Practice Principles, Guidelines for Employee Ethical Conduct, and Implementation Measures for the Whistleblower System · Auditing suppliers annually to ensure service quality · Introducing the ISO 22301 business continuity management system 	<ul style="list-style-type: none"> · TPEX continued participating in international organizations and important meetings, including the World Federation of Exchanges (WFE), International Organization of Securities Commissions (IOSCO), Global Association of Central Counterparties (CCP12), International Capital Market Association (ICMA), and Asian Securities Industry Financial Market Association (ASIFMA). · There were no incidents of employee crime, fraud, violations of laws and regulations, or reporting. · TPEX reviewed 311 suppliers with a 100% qualification rate. · TPEX obtained ISO 22301 certification for the business continuity management system.